



New Jersey Casino Control Commission

Resolution

No. 15-01-29

RESOLUTION OF THE NEW JERSEY CASINO CONTROL COMMISSION CONCERNING THE PETITION OF HR ATLANTIC CITY, LLC FOR THE ISSUANCE OF A STATEMENT OF COMPLIANCE PURSUANT TO N.J.S.A. 5:12-81a(1) (PRN 0371402)

WHEREAS, HR Atlantic City, LLC (HRAC) is a New Jersey limited liability company which is wholly-owned by Seminole Hard Rock Entertainment, Inc. (Seminole HRE), a Florida corporation which is wholly-owned by SHRE/SHRI, LLC, a United States Virgin islands limited liability company which is wholly-owned by Seminole HR Holdings, LLC (Seminole Holdings), a United States Virgin Islands limited liability company, collectively, "the Hard Rock Group"; and

WHEREAS, on February 6, 2014, HRAC filed a petition (PRN 0371402) requesting that New Jersey Casino Control Commission (Commission) issue a Statement of Compliance pursuant to N.J.S.A. 5:12-81a regarding its qualification and that of its entity and natural person qualifiers; and

WHEREAS, the Commission is authorized by N.J.S.A. 5:12-81a to issue Statements of Compliance to any applicant for qualification status if the Commission is satisfied, upon the report and recommendation of the Division of

Resolution No. 15-01-29

Page 2

Gaming Enforcement (Division), that the applicant has by clear and convincing evidence satisfied one or more eligibility criteria; and

WHEREAS, the Director of the Division (Director) entered Order PRN 0291406 dated February 6, 2014 in connection with the HRAC application for a Statement of Compliance which (a) ordered HRAC, Seminole HRE, SHRE/SHRI and Seminole Holdings to file applications for qualification; (b) designated the following as natural person qualifiers: James Allen, in his capacity as Member, Chairman, CEO and President; Brad Buchanan, in his capacity as Member, Executive VP, CFO and Secretary; Hamish Dodds, in his capacity as Member; and Connie Whidden, Jim Shore, Michael D. Rumbolz, Henry Hornbostel, Carla Gopher, Robert L. Gips and Agnes Billie-Motlow, each in his or her capacity as a Director/Manager; and (c) granted a waiver of qualification pursuant to *N.J.S.A. 5:12-85.1d* and *N.J.A.C. 13:69C-5A.1* to the Seminole Tribe of Florida and its individual members, subject to certain conditions contained therein; and

WHEREAS, on January 16, 2015, the Division filed nine reports on the natural persons required to qualify by Order 0291406 in connection with the HRAC application, with the exception of Henry Hornbostel, who holds a current and valid casino key employee license (1174-11), and recommended that each such person be granted qualification; and

WHEREAS, on January 16, 2015, the Division (a) filed a report (Exhibit D-1, in evidence) reflecting its investigation into the background of HRAC and its entity and natural person qualifiers, in which it concluded that it would not object to a ruling granting a Statement of Compliance to HRAC; and

WHEREAS, the Commission considered the entire record in this matter, together with the presentations of counsel, at its public meeting of January 29, 2015;

NOW, THEREFORE, BE IT RESOLVED by the Commission that, based upon the reports of the Division and all other evidence presented to the Commission at the public meeting of January 29, 2015, a Statement of Compliance pursuant to *N.J.S.A. 5:12-81* is GRANTED to HRAC as to its good character, honesty and integrity; business ability and casino experience; and financial stability, integrity and responsibility; and as to the qualification of the entities and natural persons designated by the Division as required to qualify in connection with this application.

FINDINGS and RULINGS

Based upon consideration of the entire record of these proceedings, the Commission enters the following findings and rulings:

1. HRAC and its entity and natural person qualifiers have complied with all requirements regarding the submission of information necessary to the Commission and the Division to evaluate PRN 0371402.

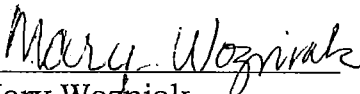
Resolution No. 15-01-29

Page 4

2. The persons identified in Director Order PRN 0291406 dated February 6, 2014, are those natural persons whose qualification needs to be established for purposes of the statement of compliance requested by PRN 0371402; and each has established by clear and convincing evidence that he or she would, but for residence, be qualified for approval as a casino key employee pursuant to the applicable provisions of the Casino Control Act, *N.J.S.A. 5:12-1 et seq.*

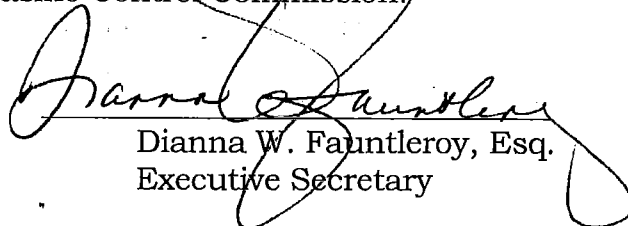
3. HRAC, Seminole HRE, SHRE/SHRI and Seminole Holdings are each qualified pursuant to *N.J.S.A. 5:12-85.1*.

BE IT FURTHER RESOLVED that Petitioner's request, as set forth on the record, to seal certain portions of D-1, , in evidence, is hereby GRANTED.

Submitted by: 
Mary Wozniak
Assistant General Counsel

CERTIFICATION

I HEREBY CERTIFY that this Resolution correctly reflects the decision of the New Jersey Casino Control Commission.


Dianna W. Fauntleroy, Esq.
Executive Secretary

Meeting of January 29, 2015
Vote taken on page 89.