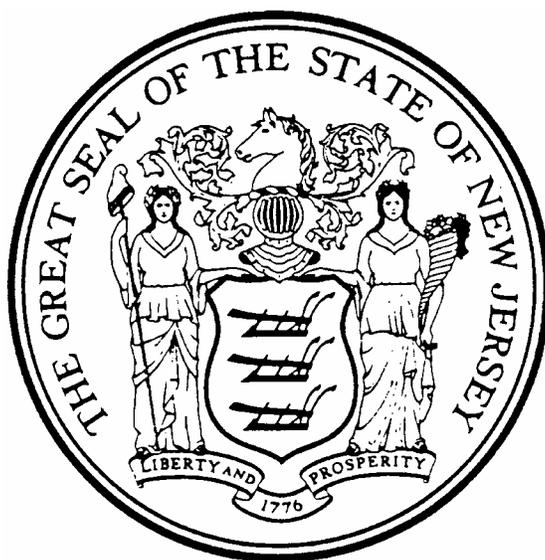


STATE OF NEW JERSEY
CASINO CONTROL COMMISSION



BUSINESS ENTITY DISCLOSURE FORM – 3

BUSINESS ENTITY DISCLOSURE FORM – 3

NAME OF ENTERPRISE*

(DO NOT ABBREVIATE)

*NAME AS IT APPEARS ON THE CERTIFICATE OF INCORPORATION, CHARTER, BY-LAWS, PARTNERSHIP AGREEMENT OR OTHER OFFICIAL DOCUMENT.

D/B/A OR TRADE NAME(S)

PERSON TO BE CONTACTED IN REFERENCE TO THESE FORMS:

NAME

TITLE

TELEPHONE: (AREA CODE) NUMBER FAX NO. (IF AVAILABLE)

THE PRINCIPAL BUSINESS ADDRESS OF THE ENTERPRISE.

STREET LOCATION (NUMBER/STREET) CITY STATE ZIP

COUNTRY TELEPHONE NO. OF THIS LOCATION (INCLUDE AREA CODE)

MAILING ADDRESS (IF DIFFERENT) CITY STATE ZIP COUNTRY

THE ADDRESS FROM WHICH THE ENTERPRISE IS OR WILL BE CONDUCTING ANY BUSINESS AS PART OF AN AGREEMENT WITH A CASINO HOTEL

STREET LOCATION (NUMBER/STREET) CITY STATE ZIP

COUNTRY TELEPHONE: (AREA CODE) NUMBER FAX NO. (IF AVAILABLE)

Check the appropriate box:

- This is an initial application for a non-gaming related casino service industry license.
- This is an application to renew a non-gaming related casino service industry license.

The current license expires on: _____

-----FOR STATE OF NEW JERSEY USE ONLY-----

VRF	LOG#	FILED DATE	NOB CODE(S)	FOR RENEWALS-TIME PERIOD

- Check here if a BED-HOLDING COMPANY is part of this application.

IMPORTANT: A complete copy of the entire casino service industry license application, including all attachments, must be submitted with the original. The entire application will be returned if a copy is not included.

NOTE: For purposes of this application, "enterprise" shall be defined to include any corporation, association, operation, firm, partnership, trust or other form of business association, as well as a natural person.

ITEM 1. PRIOR NAMES AND ADDRESSES OF THE ENTERPRISE

A. List all other names under which the enterprise has done business for the last five years.

B. List other addresses from which the enterprise has done business within the last five years.

NUMBER AND STREET	CITY	STATE	ZIP	DATES	
				FROM:	TO:

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 1B.

ITEM 2. DESCRIPTION OF ENTERPRISE

A. Specify the business form of this enterprise (that is, corporation, partnership, trust, joint venture, sole proprietorship or otherwise.) _____

B. Please submit a copy of the certificate of incorporation, charter, by-laws, partnership agreement, trust agreement or other basic documentation of the enterprise, if any. This document must be labeled as ITEM 2B.

C. If the enterprise is a publicly traded corporation, please indicate below on what exchange its stock is traded and under what symbol.

- D. Provide below either the enterprise's Federal Employer Identification Number (FID#) or Social Security Number of the owner.

FID # - or SSN --

Check box if applied for

- E. Provide a copy of the business registration certificate issued to your enterprise by the State of New Jersey's Division of Revenue in the Department of Treasury. This document must be labeled as ITEM 2E.

Please read the Notice on the last page of this form for additional information about this requirement.

- F. Please state the type of goods or services being provided to the casino industry and/or the nature of business of your enterprise.

ITEM 3. AGREEMENTS

- A. If the enterprise has entered into any written agreements with a casino hotel, indicate here the number of such written agreements.

Attach to this form a representative sample copy of such an agreement and type or print the following on the attached copy: ITEM 3A.

- B. If the enterprise has entered into any unwritten agreements or purchase order business with a casino hotel, describe below the terms of each unwritten agreement, including in said description the expected duration and terms of compensation of each such agreement.

- C. Are or were any agreements between this enterprise and a casino hotel in any way subject to or conditioned upon any other agreement between the casino hotel and either this enterprise or any other enterprise whatsoever?

Yes No

If yes, identify each such agreement, explain the relationship and name the enterprise.

D. Are or were any agreements between this enterprise and any casino hotel contingent upon other agreements between the enterprise and its suppliers, vendors or subcontractors?

Yes No

If yes, identify the said suppliers, vendors or subcontractors and identify the relationship between that agreement and any other agreement with a casino hotel.

E. Are any of the suppliers, vendors or subcontractors of the enterprise holders of any securities of the enterprise or creditors as to any long or short-term debt of the enterprise?

Yes No

If yes, identify the said suppliers, vendors or subcontractors, the nature of the interest or debt, and the amount thereof.

ITEM 4. GOVERNMENTAL REGULATION

A. Is the enterprise subject to regulation by a public agency in this State or any other jurisdiction?

Yes No

If yes, identify the public agency and its location, and describe the nature and extent of the regulation as it affects this enterprise (for example, control of rates, individual qualifications, criminal records, etc.).

- B. During the last five year period, has the enterprise ever had any license or certificate issued by a government agency in this State or any other jurisdiction denied, suspended or revoked? Yes No

If yes, state the name and nature of the license or certificate denied, suspended or revoked, the name and location of the government agency taking such action, and the date and reason for each such action in the following tabular form:

TYPE OF LICENSE OR CERTIFICATE	NAME AND LOCATION OF GOVERNMENTAL AGENCY	ACTION TAKEN	DATE	REASON

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 4B.

ITEM 5. FINANCIAL INFORMATION

- A. If the enterprise has audited financial statements prepared, submit 2 copies of the most recently prepared statement and auditor's report. Mark both the statement and report as ITEM 5A.
- B. If the enterprise does not normally have its financial statements audited, submit 2 copies of the most recently prepared unaudited financial statement and mark such statement as ITEM 5B. (If the enterprise has neither an audited or unaudited financial statement prepared, please note same below this paragraph.)
- C. Please include 2 copies of the most recently filed federal and state tax returns, in full, including all attachments, schedules and extension requests. Mark each return and schedule as ITEM 5C.

- D. Has the enterprise had any petition under any provision of the Federal Bankruptcy Act or under any State insolvency law filed by or against it in the last five-year period? Yes No

If yes, provide the following information in tabular form:

COURT NAME	COURT LOCATION	TYPE OF PETITION	DATE FILED	OFFICIAL NAME OF CASE

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 5D.

- E. Has the enterprise sought relief under any provision of the Federal Bankruptcy Act or under any State insolvency law in the last five-year period? Yes No

If yes, provide the following information in tabular form:

COURT NAME	COURT LOCATION	NAME OF CASE	RELIEF SOUGHT	DATE FILED

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 5E.

F. Has any receiver, fiscal agent, trustee, reorganization trustee or similar officer been appointed, in the last five year period, by a court for the business or property of the enterprise?

Yes No

If yes, provide the following information in tabular form:

NAME OF PERSON APPOINTED	DATE APPOINTED	COURT	REASON

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 5F.

ITEM 6. CRIMINAL HISTORY

Has the enterprise been indicted, charged with or convicted of a criminal or disorderly persons offense or been a party to or named as an unindicted co-conspirator in any criminal proceeding in this State or any other jurisdiction?

Yes No

If yes, provide the information requested in the following tabular form:

NATURE OF CASE	NAME AND ADDRESS OF COURT OR AGENCY	RESULT OF CASE	DATE OF CONVICTION

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 6.

**ITEM 7. ANTITRUST, TRADE REGULATION AND SECURITIES JUDGMENTS;
STATUTORY AND REGULATORY VIOLATIONS**

A. Has the enterprise ever had a judgment, order, consent decree or consent order pertaining to a violation or alleged violation of the federal antitrust, trade regulation or securities laws, or similar laws of any state, province or country entered against it?

Yes No

B. In the past ten years has the corporation had a judgment, order, consent decree or consent order pertaining to any state or federal statute, regulation or code that resulted in a fine of \$50,000 or more entered against it:

Yes No

If yes to either question, provide the following information for each judgment, order, consent decree or consent order:

DATE OF OFFENSE	NATURE OF OFFENSE	TITLE OF CASE AND DOCKET NUMBER	NAME AND ADDRESS OF COURT OR AGENCY	NATURE OF JUDGMENT, DECREE OR ORDER	DATE ENTERED

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 7.

ITEM 8. QUALIFIERS

Please indicate all natural persons or entities in your company that correspond to the sub-items listed below. If any of the sub-items (A) through (J) do not apply, please indicate "Does Not Apply" directly on this form. For those listed below, complete the information on the next page in the format indicated.

NOTE: A CASINO SERVICE INDUSTRY QUALIFIER DISCLOSURE FORM MUST BE COMPLETED BY EVERY PERSON NOTED IN ITEM 8A THROUGH J BELOW. IN ADDITION, THE COMMISSION MAY, IN ITS DISCRETION, ORDER ADDITIONAL PERSONS ASSOCIATED WITH THE ENTERPRISE TO FILE SUCH A FORM IF IT APPEARS THAT SUCH PERSONS SHOULD BE QUALIFIED IN ORDER TO EFFECTUATE THE PURPOSES OF THE CASINO CONTROL ACT.

- A. All persons who will act as sales representatives or otherwise regularly engage in the solicitation of business from a casino hotel.
- B. All persons who have signed or will sign any agreement with a casino hotel.
- C. All immediate supervisors of each person described in Paragraph A.
- D. All persons responsible for or in charge of the office out of which work the supervisors described in Paragraph C.
- E. All officers of the enterprise.
- F. All inside directors* of the enterprise.

*An inside director is defined at N.J.A.C. 19:51-1.1 as:

"... a director of a casino service industry applicant or licensee or holding or intermediary company thereof who is also an officer or employee of the applicant or licensee or the holding or intermediary company of which he or she is director."

- G. Any trustee of the enterprise.
- H. All partners, whether general, limited or otherwise.
- I. The sole proprietor, if the enterprise is a sole proprietorship.
- J. Each natural person or business entity that **directly** holds any beneficial or ownership interest of five percent or more of the enterprise. If an enterprise is listed as an owner, this enterprise must complete a Business Entity Disclosure Form-Holding Company (see page one of the instructions).

For every person or entity noted in ITEMS 8A through J on the previous page, please provide the information requested in the following tabular form:

NAME	DATE OF BIRTH	HOME ADDRESS	TITLE, POSITION OR ASSOCIATION WITH THE ENTERPRISE	% OF OWNERSHIP

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 8A, 8B, etc.

ITEM 9. AFFIDAVITS AND SIGNATURES

Pursuant to the regulations of the Commission, N.J.A.C. 19:41-7.10, this form must be sworn to or affirmed, signed and dated before a person legally competent to take an oath or affirmation who shall himself date the signature of the affiant and indicate the basis of his authority to take oaths and affirmations.

Each of the following persons must complete an affidavit attesting to the truth of the information in this form:

- a. if the enterprise is a corporation, the president or any officer so authorized to affirm;
- b. if the enterprise is a partnership, each of the partners; if a limited partnership, only by each of the general partners;
- c. if the enterprise is any other business form, organization or association, the president or any officer so authorized to affirm;
- d. if the enterprise is a sole proprietorship, the natural person who is the proprietor.

Each required affidavit must be identical to the model that appears on the next page of this form.

AFFIDAVIT

STATE OF _____:

COUNTY OF _____: **SS:**

I, _____, hereby acknowledge that
(NAME)

I am aware that the Commission may deny a license to any applicant which supplies information to the Commission or Division of Gaming Enforcement which is untrue or misleading as to a material fact pertaining to the qualification criteria.

Further I, _____, hereby swear
(NAME)

(or affirm) that the foregoing statements made by me on behalf of _____
(NAME OF ENTERPRISE)

_____ are true. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment.

(SIGNATURE)

(TYPE, STAMP OR PRINT NAME)

(TITLE OR POSITION)

Subscribed and sworn to

before me this _____ day

of _____, 20 ____.

NOTARY

SEAL OR AUTHORITY OF NOTARY

ITEM 10. RELEASE AUTHORIZATION; NOTICE

Pursuant to N.J.A.C. 19:41-5.7(b)2 each enterprise must have the attached "Release Authorization" properly signed, dated and notarized. The attached "Notice" must also be acknowledged by a proper signature and date.

Each document must be signed by the following person:

- a. if the enterprise is a corporation, the president or any officer so authorized to execute such a document and bind the corporation;
- b. if the enterprise is a partnership, a partner;
- c. if the enterprise is a limited partnership, a general partner;
- d. if the enterprise is any other business form, organization or association, the president or any officer so authorized to execute such a document and bind the enterprise;
- e. if the enterprise is a sole proprietorship, the natural person who is the proprietor.

RELEASE AUTHORIZATION

To All Courts, Probation Departments, Selective Service Boards, Employers, Educational Institutions, Banks, Financial and Other Such Institutions, and All Governmental Agencies – federal, state and local, without exception, both foreign and domestic.

On behalf of _____
(NAME OF ENTERPRISE)

I, _____, have
(NAME OF PRESIDENT, OFFICER, PARTNER OR SOLE PROPRIETOR)

authorized the New Jersey Casino Control Commission and the New Jersey Division of Gaming Enforcement to conduct a full investigation into the background of the said enterprise.

Therefore, you are hereby authorized to release any and all information pertaining to the said enterprise, documentary or otherwise, as requested by any employee or agent of the Division of Gaming Enforcement or the Casino Control Commission, provided that he or she certifies to you that said enterprise has an application pending before the Casino Control Commission or that said enterprise is presently a licensee or registrant required to be qualified under the provisions of the Casino Control Act.

This authorization shall supersede and countermand any prior request or authorization to the contrary.

A photostatic copy of this authorization will be considered as effective and valid as the original.

(SIGNATURE)

Subscribed and sworn to

before me this _____ day

of _____, 20 ____.

NOTARY

SEAL OR AUTHORITY OF NOTARY

NOTICE

1. Information supplied to the Commission and Division of Gaming Enforcement or otherwise obtained by either of them is confidential and shall not be revealed except in the course of the necessary administration of the Casino Control Act, or upon the lawful order of a court of competent jurisdiction, or with the approval of the Attorney General, to a duly authorized law enforcement agency pursuant to section 74 of the Casino Control Act. Nevertheless, an applicant or licensee waives any liability of the State of New Jersey and its instrumentalities and agents for any damages resulting from any disclosure or publication in any manner, other than a willfully unlawful disclosure or publication, pursuant to section 80 of the Casino Control Act.

2. An applicant for or holder of a license or registration under the Casino Control Act is subject to inspections, searches and seizures as authorized by the Act and by the regulations of the Commission. More specifically, section 79 of the Act, N.J.S.A. 5:12-79, empowers the Division of Gaming Enforcement to conduct searches, administrative inspections and, with the approval of its director, to "inspect the person, and personal effects present in a casino facility licensed under this act, of any holder of a license or registration issued pursuant to this act while that person is present in a licensed casino facility."

3. The filing fee which must accompany the submission of a casino service industry license application is not refundable once the application is accepted for filing. N.J.A.C. 19:41-9.19(b) states in pertinent part: "... amounts actually paid by an applicant or licensee in accordance with the Act and this subchapter shall not be refundable."

4. Pursuant to an amendment to section 92 of the Casino Control Act that became effective on September 1, 2001, no casino service industry license shall be issued to any person unless that person shall provide proof of valid business registration with the Division of Revenue in the Department of Treasury. The amendment to section 92 also provides that a licensed casino service contractor under contract with a casino applicant or licensee may not enter into any subcontract unless the subcontractor first provides the licensed contractor with proof of the subcontractor's business registration. The licensed casino service contractor is responsible for forwarding proof of the subcontractor's business registration to the Department of Treasury's Division of Taxation.

Effective September 1, 2001, businesses that register with the Division of Revenue are issued a business registration certificate. This certificate will serve as proof of valid business registration for purposes of casino service industry licensure.

If the prospective applicant for issuance or renewal of a casino service industry license has not registered with the Division of Revenue as required by New Jersey law, it can do so by utilizing the Division of Revenue's online registration service at <https://www.state.nj.us/treasury/revenue/dcr/reg/dcrnew01.prod.htm>, by calling the Registration Hotline at (609) 292-1730 to obtain a paper registration form, or by

downloading a paper registration form from the Internet at www.state.nj.us/treasury/revenue/revprnt.htm. Once the prospective license applicant has registered with the Division of Revenue, that agency will send the prospective license applicant a business registration certificate.

Receipt of Notice

Acknowledged on Behalf Of: _____

On: _____
(DATE)

(SIGNATURE)

(TYPE, STAMP OR PRINT NAME)

(TITLE OR POSITION)

REVISED: DECEMBER 2001
SUPERSEDES: FEBRUARY 1999